

## Review



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# Carbon cycle feedbacks and future climate change

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Climate and carbon cycle are tightly coupled on many timescales, from interannual to multi-millennial timescales. Observations always evidence a positive feedback, warming leading to release of carbon to the atmosphere; however, the processes at play differ depending on the timescales. State-of-the-art Earth System Models now represent these climate-carbon cycle feedbacks, always simulating a positive feedback over the twentieth and twenty-first centuries, although with substantial uncertainty. Recent studies now help to reduce this uncertainty. First, on short timescales, El Niño years record larger than average atmospheric CO<sub>2</sub> growth rate, with tropical land ecosystems being the main drivers. These climate-carbon cycle anomalies can be used as emerging constraint on the tropical land carbon response to future climate change. Second, centennial variability found in last millennium records can be used to constrain the overall global carbon cycle response to climatic excursions. These independent methods point to climate-carbon cycle feedback at the low-end of the Earth System Models range, indicating that these models overestimate the carbon cycle sensitivity to climate change. These new findings also help to attribute the historical land and ocean carbon sinks to increase in atmospheric CO<sub>2</sub> and climate change.

## 1. Introduction

The physical mechanisms describing the link between change in atmospheric CO<sub>2</sub> concentration and global temperature were first described more than a century ago [1,2]. Over the second part of the twentieth century, much evidence has confirmed the human perturbation of the global carbon cycle and its potentially large implication on the climate system.

Probably the most striking evidence was the atmospheric measurements of carbon dioxide initiated by R. Revelle and C.D. Keeling in the late 1950s [3]. The CO<sub>2</sub> record at the Mauna Loa Observatory, now known as the Keeling Curve, showed two prominent features of the global carbon cycle. First, the seasonal pulse of the terrestrial biosphere faithfully captured by atmospheric CO<sub>2</sub>, with lower than average atmospheric CO<sub>2</sub> during the Northern Hemisphere summer and conversely during the winter. The second unambiguous signal from the Keeling Curve is the gradual increase in atmospheric CO<sub>2</sub> concentration from year to year. When Keeling started the measurements at Mauna Loa, atmospheric CO<sub>2</sub> concentration was around 315 ppm, it passed the symbolic 400 ppm level in 2014, with a current rate of CO<sub>2</sub> increase of about 2 ppm per year [4].

Additional, as powerful, evidence came from ice cores measurements in the early 1980s; spectrometric measurements of air bubbles captured in ice cores allowed to reconstruct the atmospheric CO<sub>2</sub> concentration [5,6]. Parallel measurements of isotopic composition of the ice allowed the Antarctic temperature to be inferred. The ice-core measurements were essential (i) to demonstrate how unusual are the current level of atmospheric CO<sub>2</sub> and (ii) to illustrate how closely CO<sub>2</sub> and temperature varied in the past. Ice-core records are now going back 800 000 years, spanning eight glacial–interglacial cycles and clearly indicate that ‘The atmospheric concentrations of carbon dioxide, methane, and nitrous oxide have increased to levels unprecedented in at least the last 800 000 years’ [7].

Climate models are the third essential elements of the climate story, their development started in the 1960s [8] being continuously improved since, and used to primarily address two key questions. (i) Can we attribute the climate change observed over the instrumental period to human activities or could it be due to natural variations of the Earth system. The answer to that question is now unambiguous: ‘human influence on the climate system is clear’, and more precisely, ‘It is *extremely likely*<sup>1</sup> that more than half of the observed increase in global average surface temperature from 1951 to 2010 was caused by the anthropogenic increase in greenhouse gas concentrations and other anthropogenic forcings together’ [7]. (ii) What is the response of the climate system to an increase in greenhouse gases concentrations and other forcing agents? In the early days, this was investigated looking at the response of atmospheric general circulation models (GCMs) to a doubling of the atmospheric CO<sub>2</sub> concentration [9,10]. Nowadays, the climate community uses Earth System Models (ESMs), which couple the atmosphere–ocean–land physical system to the global carbon cycle and atmospheric chemistry (including aerosols). These models simulate the transient response of the climate system over the twentieth and twenty-first centuries, assuming different scenarios of greenhouse gases and aerosols emissions for the future [11].

Despite the tremendous effort from the climate community to address that second question of central policy relevance, the uncertainty on climate projection remains high. There is obviously a large uncertainty in the future of greenhouse gases emissions, depending on future socio-economic development, climate mitigation policies, etc. However, there is a large uncertainty coming from the climate response itself, for a given change in the radiative forcing. That uncertainty, primarily due to physical feedbacks, has been a major concern for several decades. In 1979, the US National Academy of Science report led by J. Charney entitled ‘Carbon dioxide and Climate, a scientific assessment’ estimated ‘the most probable global warming for a doubling of atmospheric CO<sub>2</sub> to be near 3 K with a probable error of  $\pm 1.5$  K’ [12]. The latest estimate reported in the Intergovernmental Panel on Climate Change Fifth Assessment Report (IPCC AR5) happens to be identical with an equilibrium climate sensitivity<sup>2</sup> *likely* range of 1.5–4.5 K [7]. A large fraction of this uncertainty comes from the unknown fate of clouds in a warming world and their net radiative forcing [13,14].

<sup>1</sup>In the IPCC terminology, *extremely likely* indicates a likelihood above 95%.

<sup>2</sup>The equilibrium climate sensitivity is defined as the change in global mean surface temperature at equilibrium that is caused by a doubling of atmospheric CO<sub>2</sub> concentration.

The ‘other side of the coin’, i.e. the effect of climate change on atmospheric CO<sub>2</sub> only emerged as a scientific concern in the late 1980s–early 1990s. Probably, the first paper that described and attempted to quantify the climate-carbon cycle feedback was written by Lashof in 1989 [15]. Lashof identified several biogeochemical feedbacks defined as ‘those that involve the response of the biosphere and components of the geosphere not considered in typical climate models’. He listed 10 potential biogeochemical feedbacks involving ocean and terrestrial systems (see table 1 in [15]). With great intuition, he wrote, ‘ideally these feedbacks should be analysed by incorporating their effects... into a dynamic model that includes the general circulation of the atmosphere and oceans, marine chemistry and biology, atmospheric chemistry, and terrestrial ecosystems. Such an approach would allow explicit consideration of the time dependence of each feedback process, but is not feasible at this time’. Nevertheless, he provided an original, back of the envelope calculation of these biogeochemical feedbacks.

## 2. Modelling ‘evidences’

Global simulations of biogeochemical processes embedded in climate models only appeared at the turn of the century [16,17]. These new models coupled standard GCMs with land and ocean carbon cycle models in order to interactively simulate the evolution of atmospheric CO<sub>2</sub> (as opposed to prescribe it in a standard GCMs). These developments occurred almost simultaneously at the Hadley Centre, UK and at the Institut Pierre-Simon Laplace (IPSL), France. Although these new models and their main result, *there is a positive feedback between climate change and the global carbon cycle*, had a profound impact on the climate modelling community; in retrospect, they were only the natural outcome of growing evidences that pointed to the sensitivity of the global carbon cycle to climate change.

Both on the ocean and on the land side, global models have been developed, starting from simple box models in the early days [18,19], to full three-dimensional models for the ocean biogeochemistry [20,21] and spatially explicit models of terrestrial ecosystems [22,23]. The first objective of these models was to quantify the contribution of ocean and land ecosystems to the current or historical global carbon budget. Indeed, C. D. Keeling was the first to quantify the *airborne fraction*, the fraction of the anthropogenic CO<sub>2</sub> emissions that remains in the atmosphere, to be about 60% of the fossil fuel emissions [3,24]. That implied that the ocean and the terrestrial ecosystems sequestered a significant fraction of the perturbed atmospheric CO<sub>2</sub>. It was initially believed that the ocean was the main sink of carbon until it became clear that a land sink was needed to close the carbon budget [25]. It is now admitted that both the land and the ocean play a comparable role, each removing from the atmosphere about 25% of the anthropogenic CO<sub>2</sub> emissions [4,26,27].

However, the obvious question is whether the ocean and the land ecosystems will continue to provide such a service to humanity, removing about half of the CO<sub>2</sub> emitted by human activities. Without these land and ocean sinks, the atmospheric CO<sub>2</sub> increase would be about twice as fast; current atmospheric CO<sub>2</sub> concentration would be already above 500 ppm, inducing a warming of more than 2 K above pre-industrial level (assuming a median estimate of 3 K for the climate sensitivity). There was, and there still is, an urgent need to better understand the process governing these carbon sinks and whether they would continue to operate in the future. Global land and ocean models were used to investigate the fate of ocean or land carbon storage under future change in environmental conditions, mainly elevated atmospheric CO<sub>2</sub> and climate change. Global ocean models forced with a scenario of atmospheric CO<sub>2</sub> over the twenty-first century would simulate a continuous increase in ocean CO<sub>2</sub> uptake, driven by the growing imbalance of CO<sub>2</sub> partial pressure between the atmosphere and the ocean surface. When the same models would additionally account for climate change (changes in ocean temperature, salinity, ocean circulation, etc.), they would show a significant reduction of carbon uptake, primarily driven by the ocean stratification, and reduced ocean thermohaline circulation [28–30]. Terrestrial models also investigated the effect of CO<sub>2</sub> and climate, always agreeing on the positive response of land carbon storage to atmospheric CO<sub>2</sub> increase (the so-called *fertilization effect*), but not

reaching consensus on the terrestrial ecosystem response to the associated climate change. Some earlier studies found an enhanced terrestrial productivity [31] while later studies found the opposite [32,33]. The third assessment report of IPCC concluded that, by mid-twenty-first century, climate change would reduce the land carbon uptake by 21% to 43% and the ocean carbon uptake by 6% to 25%, relative to CO<sub>2</sub> only driven uptakes [34]. This IPCC range was very conservative, as further discussed below.

Nevertheless, all these studies gave a clear signal to the climate modelling community: one cannot simulate future climate change assuming atmospheric CO<sub>2</sub> can be known, *a priori*, from the CO<sub>2</sub> emissions trajectory. The evolution of atmospheric CO<sub>2</sub> results from the balance between the CO<sub>2</sub> emissions and the land and ocean carbon sinks, these last ones being a complex function of atmospheric CO<sub>2</sub> and climate. Hence the CO<sub>2</sub>-climate system is coupled, future climate change depends on atmospheric CO<sub>2</sub> increase (and other forcing agents); but in return, atmospheric CO<sub>2</sub> increase depends on climate change.

The two above-mentioned coupled climate-carbon cycle models from the Hadley Centre and the IPSL modelling groups just did this. Both modelling groups performed similar simulations; first a fully coupled twentieth and twenty-first centuries run with twenty-first century CO<sub>2</sub> emissions following either the IPCC IS92a (Hadley) or the SRESA2 (IPSL) scenario; second, an uncoupled run with the same emission scenario, but holding the radiative forcing from CO<sub>2</sub> at pre-industrial level. In both runs, the models compute atmospheric CO<sub>2</sub> as the difference between the prescribed emissions and the simulated land and ocean carbon sinks. However, in the coupled runs the land and ocean carbon cycle are 'seeing' both CO<sub>2</sub> increase and climate change (as the climate evolves as the simulated atmospheric CO<sub>2</sub> increases); while in the uncoupled runs the land and ocean carbon cycle are only 'seeing' the atmospheric CO<sub>2</sub> increase (as the climate is held at its pre-industrial level). From the coupled and uncoupled simulation, one can compare the simulated atmospheric CO<sub>2</sub>; any difference will be due to the effect of climate change on the carbon sinks. Indeed, the difference in simulated atmospheric CO<sub>2</sub> is a measure of the gain of the climate-carbon cycle feedback:

$$g = \frac{\Delta C_a^{\text{cou}} - \Delta C_a^{\text{unc}}}{\Delta C_a^{\text{cou}}}, \quad (2.1)$$

where  $\Delta C_a^{\text{cou}}$  and  $\Delta C_a^{\text{unc}}$  are the increase in atmospheric CO<sub>2</sub> relative to pre-industrial in the coupled and uncoupled simulations, respectively, and  $g$  is the gain of the climate-carbon cycle system. The gain of the Hadley centre model was about 0.4 while the gain of the IPSL model amounted to 0.17. In units of atmospheric CO<sub>2</sub>, the Hadley model simulated a CO<sub>2</sub> concentration about 250 ppm higher in the coupled simulation than in the uncoupled simulation, while the IPSL model 'only' simulated an 75 ppm higher concentration in the coupled simulation [16,35,36].

This wide range, although only based on two models, generated a worldwide interest in climate-carbon cycle modelling. Quite rapidly the Climate Carbon Cycle Model Intercomparison Project (C<sup>4</sup>MIP) was set up [37,38] and within less than 5 years, 11 models had performed similar experiments [39]. The bad news is that the uncertainty increased, with some models simulating near zero climate-carbon cycle gain (although still positive). Most of the uncertainty originated from the land biosphere and its response to atmospheric CO<sub>2</sub> increase and climate change. The fertilization effect, that largely contributes to the current land carbon sinks showed a factor of 10 uncertainty in the future, with a sensitivity (called  $\beta_1$ ) ranging by 2100 between 0.2 and 2.8 GtC per ppm. Likewise, the climate effect on the carbon cycle (called  $\gamma_1$ ) showed a similar uncertainty, ranging between -20 and -177 GtC per K. Mathematically, these two terms can be estimated from the coupled and uncoupled simulations, assuming that the carbon cycle responds linearly to atmospheric CO<sub>2</sub> and climate change:

$$\Delta C_l = \beta_1 \Delta C_a + \gamma_1 \Delta T, \quad (2.2)$$

where  $\Delta C_l$  is the change in the land carbon store,  $\Delta C_a$  the change in atmospheric CO<sub>2</sub> and  $\Delta T$  the change in global temperature; a similar equation applying for the change in the ocean carbon

storage  $\Delta C_o$ :

$$\Delta C_o = \beta_o \Delta C_a + \gamma_o \Delta T. \quad (2.3)$$

The sensitivity terms  $\beta$  and  $\gamma$  for the land and ocean can be diagnosed successively, solving for  $\beta$  from the uncoupled simulation (above equations where  $\Delta T$  equals zero), and then solving for  $\gamma$  from the coupled simulation (above equations).

Despite the large uncertainty, all models agreed that climate change would reduce carbon storage (i.e.  $\gamma$  is negative), primarily due to an enhancement of soil organic decomposition under a warming world [39]. Almost 10 years later, within the Coupled Model Intercomparison Project Phase 5 (CMIP5), a similar analysis was performed with CMIP5 ESMs, giving essentially the same conclusion, the land carbon cycle response to atmospheric  $\text{CO}_2$  and climate change is still poorly constrained [40]. New ESMs now include a representation of the nitrogen cycle. This has two opposite effects on land carbon storage. First, accounting for nitrogen leads to a lower response to atmospheric  $\text{CO}_2$ ; as nitrogen limitations reduce the photosynthesis enhancement under increasing atmospheric  $\text{CO}_2$ . Second, nitrogen also reduces the carbon loss due to climate change; as soil warming leads to increased nitrogen mineralization, hence increasing nitrogen availability to the plant [41,42].

The Summary for Policy Makers of the Working Group I of the IPCC AR5 headlined that ‘Climate change will affect carbon cycle processes in a way that will exacerbate the increase of  $\text{CO}_2$  in the atmosphere (*high confidence*)’. More specifically, it stated ‘Based on Earth System Models, there is *high confidence* that the feedback between climate and the carbon cycle is positive in the 21st century; that is, climate change will partially offset increases in land and ocean carbon sinks caused by rising atmospheric  $\text{CO}_2$ . As a result more of the emitted anthropogenic  $\text{CO}_2$  will remain in the atmosphere. A positive feedback between climate and the carbon cycle on century to millennial timescales is supported by palaeoclimate observations and modelling.’

### 3. Observational constraints

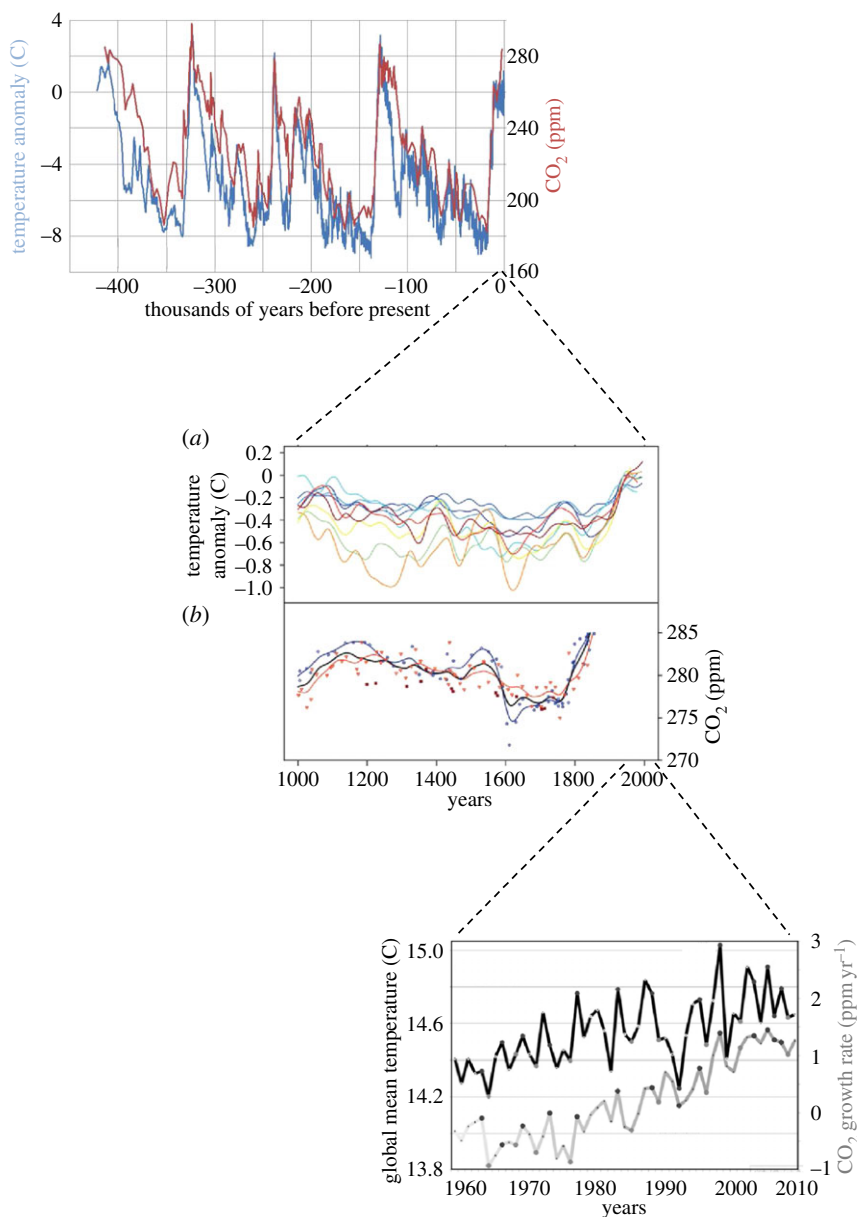
Clearly, models alone are not helping to constrain the carbon cycle feedbacks; observations are needed to reduce uncertainties. However, there is a fundamental issue when trying to constrain feedbacks from observations. As by definition, a feedback is estimated from the difference between a coupled system and the theoretical system where this feedback does not operate, one cannot directly observe and measure a feedback. Observations are made in the real world where all feedbacks operate. Nevertheless, there are at least two methods that have been used to help constraining the carbon cycle feedbacks.

The first method makes use of concurrent records of atmospheric  $\text{CO}_2$  and temperature over given timescales (figure 1). The analysis of the covariance of the two records informs on relationship between the climate system and the carbon cycle. The first who explored that route was G. Woodwell [43,44], who used past records of atmospheric  $\text{CO}_2$  and temperature to try to infer the strength of the climate-carbon cycle feedback. Using the ice-core data of atmospheric  $\text{CO}_2$  and Antarctic temperature from the Vostok record over the last 150 000 years, he quantified the strength of climate-carbon cycle feedback [6]. Ice-core data clearly show the natural swing between glacial stages characterized cold temperature associated with low atmospheric  $\text{CO}_2$  concentration and interglacial stages characterized with warmer temperature and higher  $\text{CO}_2$  levels. Woodwell wrote ‘the record for the Vostok core suggests that a change of 1 K in this period was equivalent to a change of 10–15 ppmv of carbon dioxide or about 25 GtC in the atmosphere’. Such analysis has been reproduced since by several authors, giving essentially the same estimate [45,46].

The sensitivity estimate from ice-core records, i.e. a change in atmospheric  $\text{CO}_2$  due to a change in global temperature is not directly comparable to  $\gamma$ , the climate-carbon cycle sensitivity as estimated by ESMs.

The ice-core sensitivity describes an atmospheric  $\text{CO}_2$  change while  $\gamma$  describes a change in land (or ocean) carbon stores. These two quantities are different as not all of carbon loss by the





**Figure 1.** Relationship between global temperature and atmospheric CO<sub>2</sub> across multiple timescales. Top panel shows the Vostok ice core with atmospheric CO<sub>2</sub> (red) and global temperature (blue); middle panel shows last millennium temperature reconstruction (top) and atmospheric CO<sub>2</sub> from several ice cores (bottom); bottom panel shows atmospheric CO<sub>2</sub> annual growth rate from the Mauna Loa record, and global average surface temperature.

land and ocean reservoirs stays in the atmosphere forever; a large fraction is reabsorbed by these two reservoirs in response to the atmospheric concentration perturbation induced by this initial carbon release. For example, if the glacial–interglacial warming induces a release of carbon from the ocean, atmospheric CO<sub>2</sub> will increase, inducing a land and ocean uptake of carbon. What remains in the atmosphere, and hence measured from the ice-core record, is the final outcome of these concurrent processes. Mathematically this can be written as

$$\frac{\Delta C_a}{\Delta T} = \frac{(\Delta C_{o+l}/\Delta T)}{(1 + \beta_o + \beta_l)}, \quad (3.1)$$

with  $\Delta C_a$  being the change in atmospheric  $\text{CO}_2$ ,  $\Delta C_{o+l}$  the change in the ocean and land carbon stores for a given  $\Delta T$ , while  $\beta_o$  and  $\beta_l$  are the  $\text{CO}_2$ -carbon cycle sensitivity terms described above. The implication is that one needs to know the  $\beta$  factors to translate the change in atmospheric  $\text{CO}_2$  per unit of warming into a change in carbon store per unit of warming ( $\gamma$ ).  $\beta$  is not directly observed, one needs a carbon cycle model to estimate it, and to make it more complicate,  $\beta$  changes with the timescale considered as it represents the uptake of carbon by land and ocean following an increase in atmospheric  $\text{CO}_2$ .  $\text{CO}_2$  is a complex gas in the sense that it does not have one single residence time in the atmosphere. Several processes occurring on dramatically different timescales are responsible for the removal of  $\text{CO}_2$  from the atmosphere. Assume a step increase in atmospheric  $\text{CO}_2$ , about 50% of this increase is still in the atmosphere after 100 years, about 20% is still in the atmosphere after 1000 years, and about 5–10% remains after 10 000 years [47,48].

The implication is that the estimate of ‘about 25 GtC in the atmosphere per 1K’ does not directly translate in an unequivocal change in the land and ocean reservoir. In addition, the glacial–interglacial changes in the carbon cycle involve processes, predominantly occurring in the ocean, not directly relevant for the anthropogenic perturbation of the twentieth and twenty-first century. The Vostok ice-core is an unambiguous indication that the carbon cycle does respond to climate change, it also confirms that there is a positive feedback on these long timescales, warming release carbon to the atmosphere, but it does not directly help constraining the carbon cycle feedback of the twenty-first century.

Much more encouraging is the analysis of the last millennium. The principle is the same as for glacial–interglacial cycles; ice-core records of the last millennium show variability of atmospheric  $\text{CO}_2$ , of the order of a couple of ppm, associated with variability of the climate system [49]. The last millennium record offers the advantage that climate and  $\text{CO}_2$  fluctuations are relatively small, and operate on centennial timescales, i.e. more relevant for the current anthropogenic perturbation. However, as opposed to the glacial–interglacial cycles that are entirely natural, over the last millennium there is a possibility that the anthropogenic perturbation is already at play and contributes to the fluctuations in atmospheric  $\text{CO}_2$ . In particular, it had been argued that early land-use change (mainly agriculture in Eurasia) had a significant impact on atmospheric  $\text{CO}_2$  with large release of carbon (approx. 300 GtC) from early agriculture [50]. However, it has since been shown that pre-industrial  $\text{CO}_2$  emissions from land use were much lower (approx. 50 GtC at most) (e.g. [51]) and were not a major concern in an analysis that focuses on variability rather than long-term trends. The study by Frank *et al.* [49] combined three ice-core data with multiple reconstruction of temperature from tree ring data. They found a clear positive correlation emerging from the  $\text{CO}_2$  and temperature datasets, allowing a quantification of the global carbon sensitivity to climate. They reported a  $\Delta C_a/\Delta T$  (misleadingly named  $\gamma$  in their paper) of 7.7 ppm  $\text{CO}_2$  per K warming, with a likely range of 1.7–21.4 ppm  $\text{CO}_2$  per K. When compared with the  $\Delta C_a/\Delta T$  simulated by the  $\text{C}^4\text{MIP}$  models over the twentieth century, they concluded that their observation-based estimate was compatible with the lower end of the range simulated by the  $\text{C}^4\text{MIP}$  models, i.e. large values of the climate-carbon cycle feedback were unlikely compatible with their finding.

A complete different approach, but still based on observations, uses the concept of emerging constraints. The approach relies on the finding that there is an emerging quasi-linear relationship between the response of tropical land carbon storage to twenty-first century warming ( $\gamma$ ) and the sensitivity of the year-to-year growth rate of atmospheric  $\text{CO}_2$  to tropical temperature anomalies (called  $\gamma_{\text{IAV}}$ ). As the latter is observed, this relationship allows to constraint  $\gamma$  over the twenty-first century [52]. The rise of atmospheric  $\text{CO}_2$  vary from year to year, and is due to climate variability such as the El Niño Southern Oscillation. This has been known for several decades, and attributed to the tropical land biosphere [53,54]. ESMs do simulate interannual variability of atmospheric  $\text{CO}_2$  in response to the simulated natural climate variability. The emerging relationship emerges as models that tend to simulate a weak tropical land carbon cycle response to ENSO anomalies (low  $\gamma_{\text{IAV}}$ ) tend to simulate a weak tropical land carbon response to climate change over the twenty-first century (low  $\gamma$ ). Conversely, models with a large  $\gamma_{\text{IAV}}$  simulate a large twenty-first century  $\gamma$ . This was first demonstrated for the earlier  $\text{C}^4\text{MIP}$  models [52], and subsequently

reproduced with the more recent CMIP5 ESMs [55]. The observed sensitivity of the carbon cycle on interannual timescale, derived from the Mauna Loa atmospheric CO<sub>2</sub> records allows to constraint the tropical land  $\gamma$  to  $53 \pm 17$  GtC per K warming. Again, this estimate is consistent with the lower end of the C<sup>4</sup>MIP and CMIP5 ESMs estimates.

These two, completely independent, approaches, one based on millennium variability, and one based on interannual variability agree on two important findings. First, the climate-carbon cycle feedback is positive; and second, the feedback is not as large as simulated by most of the comprehensive models, it is more likely to be in the lower end of the models range.

## 4. What are we missing?

The emerging constraint presented above only applies for the tropical land carbon, as the interannual variability of atmospheric CO<sub>2</sub> is essentially dominated by tropical land ecosystems. One cannot rule out additional carbon cycle feedbacks arising from non-tropical ecosystems. In particular, permafrost ecosystems are ‘the elephant in the room’ of the global carbon cycle. Permafrost contains the largest amount of carbon on land, about 1700 GtC of organic soils are estimated for the northern permafrost regions [56]. Global warming, exacerbated in high latitudes, is anticipated to induce a thawing of permafrost soils, potentially leading to decomposition of soil carbon currently isolated from the atmosphere. None of the C<sup>4</sup>MIP or CMIP5 models included permafrost carbon. Hence the estimations of the climate-carbon feedback for lands are probably conservative for high latitude ecosystems. The same applies for the observation-based estimates; the last millennium climate probably explores a too narrow range of climate variations to trigger large release of carbon from permafrost, and, as mentioned above, the emerging constraint is limited to tropical ecosystems.

Although not included in ESMs yet, permafrost ecosystems are now being represented in land surface models, and estimate of the potential release of carbon from these systems have been made recently [57–60]. Estimates vary widely due to uncertainty in the processes at play (rate of soil thawing, fine-scale processes, fraction of carbon released, potential mitigating role of nutrient, etc.). The IPCC AR5 concluded, ‘There is *high confidence* that reductions in permafrost extent due to warming will cause thawing of some currently frozen carbon. However, there is *low confidence* on the magnitude of carbon losses through CO<sub>2</sub> and CH<sub>4</sub> emissions to the atmosphere, with a range from 50 to 250 GtC between 2000 and 2100 under the RCP8.5 scenario’ [4]. The climate-permafrost carbon gain is hence very uncertain; as the RCP8.5 emissions are about 2000 GtC for the twenty-first century, the permafrost carbon release, 50–250 GtC, translates into a gain ranging between 2.5% and 12.5%.

CO<sub>2</sub> fertilization, i.e. the increase of terrestrial plants productivity as a response to atmospheric CO<sub>2</sub> increase, remains one of the major source of uncertainty in the CMIP5 ESMs carbon cycle projections [40]. Although the basic process is relatively well understood: elevated CO<sub>2</sub> stimulates plant photosynthesis, increasing biomass production and hence carbon uptake, the quantification at the global scale remains poorly constrained. There are no long-term global observations of photosynthesis yet that could be used to evaluate models responses. In addition, the induced carbon sink does not only depends on the rate of increase of photosynthesis, it also depends on the residence time of carbon in biomass and soils, delaying the increase in heterotrophic decomposition. Surprisingly, CMIP5 ESMs showed a very wide range of residence time and hence global vegetation and soil carbon estimates [61,62]. For example, simulated global soil carbon ranged between about 500 and 3000 GtC while the observation-based estimate is around 1300 GtC. This is clearly an area where models improvement and evaluation is essential and does not seem out of reach.

On a related topic, nitrogen control on terrestrial plant productivity and hence on carbon sink was not represented in any of the earlier C<sup>4</sup>MIP models. For CMIP5, it was introduced in only one land surface model, CLM, which was common to two CMIP5 ESMs (CESM and NorESM). These two models simulated a much lower CO<sub>2</sub>-carbon cycle sensitivity ( $\beta$ ) and a much lower climate-carbon cycle sensitivity ( $\gamma$ ) than the carbon-only ESMs. Although such results were



expected, see discussion before, it is too premature to conclude on the actual strength of the nitrogen limitation.

As mentioned earlier, direct observations of biogeochemical feedbacks or sensitivities ( $\beta, \gamma$ ) are by definition inexistent, one has to rely either on indirect evidences (correlations or emerging constraints) or on direct evidences of other quantities that potentially include other processes. For example, we have a reasonably good understanding of the global ocean and land carbon sinks, but the attribution of these sinks to  $\text{CO}_2$ , increase, change in climate, atmospheric deposition of nutrients, or changes in land management cannot be directly measured. Nevertheless, there is hope that remote sensing of atmospheric  $\text{CO}_2$  could provide better spatial and temporal coverage of atmospheric  $\text{CO}_2$  and hence carbon sinks, allowing the attribution of their spatial-temporal patterns to their drivers, in a similar way that attribution of climate change.

Benchmarking of carbon cycle models is now possible, several datasets can be used to constrain global carbon cycle models, both for the land and the ocean [61,63]. Although they cannot directly constrain the carbon cycle sensitivities, they can help evaluate to ability of models to simulate the mean state of the system, such as gross fluxes and reservoirs.

Finally, there are numerous other biological processes that can be affected by climate change, and potentially induce a feedback on the human induced warming, such as  $\text{N}_2\text{O}$  emissions, isoprene and ozone chemistry, fires and aerosols emissions. A recent review of these candidates showed a very low confidence in their estimate as often based on very few studies, but fail to find large potential feedbacks others than the ones already discussed here [64].

## 5. Putting the pieces together

Combining the model-based estimates of the carbon cycle feedbacks with the recent observation-based studies allows drawing several important conclusions from which one can tentatively revisit the historical global carbon budget (figure 2).

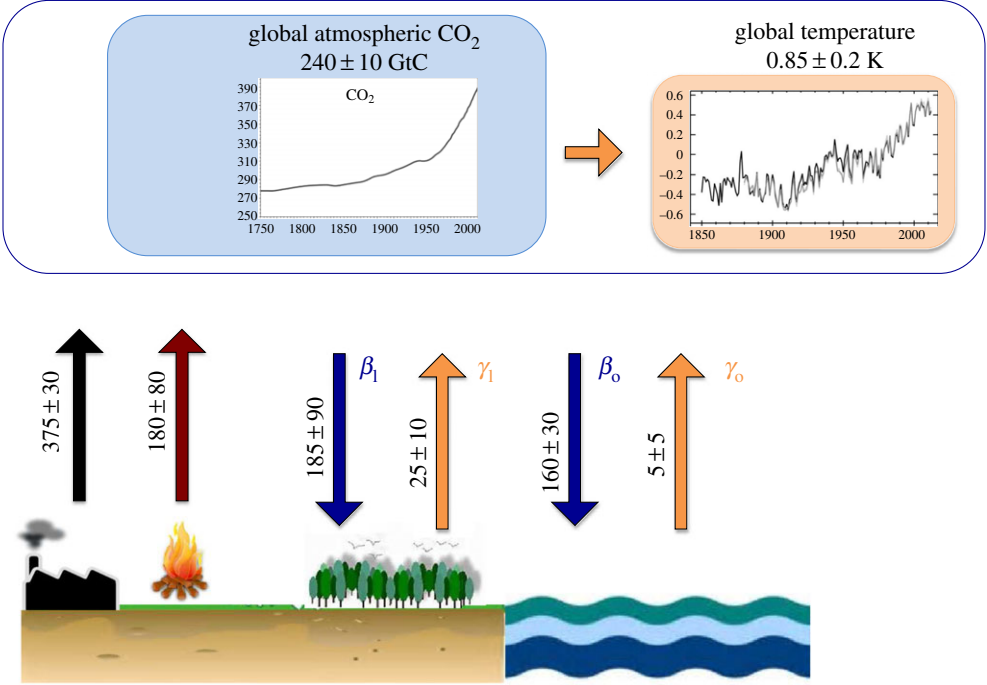
The  $\text{CO}_2$ -carbon cycle feedback is negative, both for land and ocean systems; an increase in  $\text{CO}_2$  concentration induces an increase in land and ocean carbon uptake, mitigating the atmospheric growth rate of  $\text{CO}_2$ .

The climate-carbon cycle feedback is positive, both for land and ocean systems; global warming induces a reduction of land and ocean carbon uptake, enhancing the atmospheric growth rate of  $\text{CO}_2$ .

Over the historical period, the  $\text{CO}_2$ -induced feedbacks are by far dominant; land and ocean act as strong carbon sinks.

Oceanic inventories confirm the large uptake of the global ocean, about  $155 \pm 30 \text{ GtC}$  between 1750 and 2011 [65,66]. This estimate of ocean carbon sink allows putting some new constraint on the ocean  $\text{CO}_2$ -carbon cycle sensitivity term ( $\beta_o$ ). As the atmospheric  $\text{CO}_2$  concentration increased by about 100 ppm since 1750,  $\beta_o$  is estimated to amount  $1.55 \pm 0.3 \text{ GtC ppm}^{-1}$  (or  $0.7 \pm 0.15 \text{ ppm ppm}^{-1}$ ). This estimate should be seen as conservative as it does not include any climate effect on ocean carbon uptake. However, the climate effect is probably of second order for the ocean and within the uncertainty on the ocean uptake estimate. Assuming a climate-ocean carbon sensitivity ( $\gamma_o$ ) of  $-8 \pm 3 \text{ GtC K}^{-1}$  (CMIP5 multi-model mean and s.d.), the historical warming ( $0.85 \pm 0.2 \text{ K}$ ) leads to an ocean carbon loss of only about  $7 \pm 4 \text{ GtC}$ , having virtually no effect on the above estimate of  $\beta_o$ .

The quantification of the land carbon cycle sensitivity of  $\text{CO}_2$ ,  $\beta_l$ , is less trivial as the contribution from historical climate change on the land carbon cannot be neglected anymore. Both the estimate based on the last millennium  $\text{CO}_2$  and climate variability, and the emerging constraint estimate based on the interannual variability of atmospheric  $\text{CO}_2$  point to a  $\gamma_l$  towards the low-end of the ESM range. The last millennium estimate,  $\Delta C_a / \Delta T$  of  $7.7 \text{ ppm K}^{-1}$  implies a combined ( $\gamma_o + \gamma_l$ ) of about  $-36 \text{ GtC K}^{-1}$  for the twentieth century, broadly consistent with the emerging constraint estimate of a  $\gamma_l$  for the tropics of about  $-50 \pm 20 \text{ GtC K}^{-1}$  by 2100, when considering that  $\gamma_l$  does increase with global temperature [40]. Assuming the same  $\gamma_o$  of  $-8 \text{ GtC K}^{-1}$  for the ocean, this gives a climate-land carbon sensitivity ( $\gamma_l$ ) of about  $-28 \text{ GtC K}^{-1}$



**Figure 2.** Global carbon budget over the historical period (1750–2011) adapted from IPCC AR5. Fossil fuel (black arrow) and land-use changes emissions (brown arrow) as in IPCC and attribution of land and ocean carbon sinks to atmospheric CO<sub>2</sub> increase (blue arrows) and climate change (orange arrows) as done in this study. All fluxes are in GtC and rounded to the nearest 5. Top panels show atmospheric CO<sub>2</sub> increase since 1750 and global average surface temperature since 1880 for illustration.

**Table 1.** Global carbon budget over the historical period (1750–2011) as in IPCC and attribution of land and ocean carbon sinks to atmospheric CO<sub>2</sub> increase and climate change as done in this study. All fluxes are in GtC and rounded to the nearest 5, sign convention is positive when to the atmosphere.

<i>sources</i>	
fossil fuel emissions	375 ± 30
net land-use change	180 ± 80
<i>sinks</i>	
atmospheric increase	240 ± 10
oceanic uptake	–155 ± 30
CO <sub>2</sub> driven	–160 ± 30
climate driven	+5 ± 5
land uptake	–160 ± 90
CO <sub>2</sub> driven	–185 ± 90
climate driven	+25 ± 10

for the twentieth century, implying that the terrestrial biosphere already lost about 25 ± 10 GtC in response to the historical warming.

Finally, combining this estimate with the historical global carbon budget reported in the IPCC AR5 allows a quantification of  $\beta_1$  over the historical period. The difference between the CO<sub>2</sub>

emissions from fossil fuel burning and the land-use change ( $375 \pm 30$  GtC and  $180 \pm 80$  GtC for the 1750–2011 period, respectively) and the accumulation in the atmosphere and the oceans ( $240 \pm 10$  GtC and  $155 \pm 30$  GtC for the same period, respectively) leaves a residual of  $160 \pm 90$  GtC, attributed to the land carbon sink. This sink results from the combined effect of land response to atmospheric  $\text{CO}_2$  and to climate change (assuming here that other factors, such as nitrogen deposition are of second order for driving the land carbon sink [67]). Taking a climate-induced land loss of  $25 \pm 10$  GtC as derived above implies a  $\text{CO}_2$ -induced land sink of  $185 \pm 90$  GtC. Given the atmospheric  $\text{CO}_2$  increase of about 100 ppm since 1750,  $\beta_1$  is estimated to amount  $2 \pm 0.9$  GtC ppm<sup>-1</sup> (or  $0.9 \pm 0.4$  ppm ppm<sup>-1</sup>).

These estimate of land and ocean sensitivities allow revisiting the historical global carbon budget, and attributing land and ocean net carbon fluxes to their two primarily drivers, atmospheric  $\text{CO}_2$  and climate change (figure 2; table 1).

## 6. Concluding remarks

The anthropogenic perturbation, through the increase of greenhouse gases, primarily carbon dioxide, is very likely to be responsible for the observed climate change. Further  $\text{CO}_2$  emissions will inevitably lead to additional warming over the twenty-first century. The anthropogenic perturbation has a profound impact on the natural global carbon cycle with all reservoirs, the atmosphere, the land ecosystems and the global ocean, being pushed away from their pre-industrial quasi-steady state. Increase in atmospheric  $\text{CO}_2$  leads to land and ocean uptake of  $\text{CO}_2$ , a strong negative feedback on the anthropogenic perturbation. Only about half of  $\text{CO}_2$  emissions currently remain in the atmosphere. Without the service provided by the land and ocean systems, atmospheric  $\text{CO}_2$  would already be above 500 ppm today. However, climate change also has an impact on the land and ocean carbon cycle, which operates in the opposite direction, warming leads to carbon release from both land and ocean, a positive feedback on the anthropogenic perturbation. The quantification of these four terms, the ocean and land carbon cycle sensitivity to atmospheric  $\text{CO}_2$  ( $\beta_0$ ,  $\beta_1$ ), and the ocean and land carbon cycle sensitivity to climate change ( $\gamma_0$ ,  $\gamma_1$ ) has been impeding progress in Earth System science for the last decade. Recent use of observations gives some hope on constraining the most uncertain term,  $\gamma_1$ .

For the historical period, the land and ocean sinks are primarily due to the response to atmospheric  $\text{CO}_2$  increase, with the historical warming inducing a non-negligible release of carbon from the land.

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